

Open Course 1. CC 1551.3 CAPITAL MARKET OPERATIONS

No. of instructional Hours per week: 3 No of Credits: 2

Aim: To create an interest among students towards stock market investment

Objective: To familiarize the students with capital market operations

Module I:

Capital Market- meaning – structure- capital market instruments (Brief discussion only) – Primary market (Meaning) Methods of public issue, Book building – meaning – procedure (10 Hrs)

Module II:

Secondary Market – Leading stock exchanges in India – Securities traded in the stock exchange – ownership and creditor ship securities (Concept only) – Procedure for buying and selling securities – Types of orders – Online trading – Stock market indices (Meaning) (12 Hrs)

Module III:

Dematerialization of Securities – meaning – advantages of dematerialization – Depositories – functions of depositories – Procedure of dematerialization (12 Hrs)

Module IV:

Types of investors – Speculation Vs Investment – Types of speculators (10 Hrs)

Module V:

Derivatives – Meaning – Forwards, Futures, Options – Put option - Call option (10 Hrs)

Books Recommended

1. Kevin S. Security Analysis and Portfolio Management, PHI, New Delhi.
2. Preethi Singh. Dynamics of Indian Financial System, Ane Books Pvt. Ltd., New Delhi.
3. Sojikummar.K and Alex Mathew. Indian Financial System and Markets, Tata McGraw-Hill Publishing Co.Ltd., New Delhi.
1. Bharathi V. Pathak. Indian Financial System, Pearson Education, Noida.